

Name: _____

Date: _____

ENVIRONMENTAL, SOCIAL, AND GOVERNANCE INVESTING QUESTIONNAIRE

This questionnaire is designed to help us understand your perspectives on Environmental, Social, and Governance (ESG) factors, so that we can align your portfolio with your vision, values and goals. ESG investing considers a company's impact on the environment, its social and governance practices, in addition to its financial performance. By understanding your preferences, we can work together to build a portfolio that reflects your values and contributes to a more sustainable future.

1 General Approach to Investing

What are your primary investment goals? (e.g., retirement, education, wealth preservation, charitable giving)

What is your overall investment time horizon? (e.g., short-term, medium-term, long-term)

What is your risk tolerance? (e.g., conservative, moderate, aggressive)

2 ESG Considerations

Not at all important Slightly important Moderately important Very important Extremely important

How important are ESG factors to you when making investment decisions?

Which of the following ESG issues are most important to you? (*Select all that apply*)

- a) Climate change (e.g., greenhouse gas emissions, renewable energy)
- b) Human rights (e.g., labor standards, child labor, forced labor)
- c) Social equity (e.g., diversity & inclusion, affordable housing, access to healthcare)
- d) Animal welfare
- e) Data privacy and security
- f) Corruption and bribery
- g) Executive compensation and board diversity
- h) Other: _____

	Yes, to a significant degree	Yes, to some degree	Neutral	No, I prioritize financial returns
Are you willing to accept potentially lower returns to invest in companies with strong ESG performance?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Would you prefer to:

- a) Invest in companies with strong ESG performance across all sectors.
- b) Focus on specific sectors with strong ESG potential (e.g., renewable energy, healthcare).
- c) Exclude companies involved in certain activities (e.g., fossil fuels, tobacco, weapons).

Are you interested in engaging with companies on ESG issues?

- a) Yes, I would like to be actively involved.
- b) Yes, I would be open to engaging if opportunities arise.
- c) No, I prefer to focus solely on investment performance.

3

Impact Investing

	Yes, to a significant degree	Yes, to some degree	Neutral	No, I prioritize financial returns
Are you interested in investing in companies that have a positive social or environmental impact?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Are you interested in investing in funds that specifically target social or environmental goals?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

4

Additional Considerations

Do you have any specific religious or ethical concerns that should be considered in your investment portfolio?

Are there any other factors that are important to you when making investment decisions? (e.g., local impact, family values)

Thank you for taking the time to complete this questionnaire. Your responses will help us better understand your investment preferences and provide you with personalized advice.